

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiroshi YAMASHITA et al.

SERIAL NO: NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: TONER COMPOSITION AND METHOD FOR MANUFACTURING THE TONER COMPOSITION

11046 U.S. PTO
10/092920
03/08/02

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Richard L. Treanor

Registration No. 36,379



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10:01)

Form PTO 1449
(modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

220113US0

SERIAL NO.

NEW APPLICATION

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Hiroshi YAMASHITA et al.

FILING DATE

HEREWITH

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,103,441	08/15/00	Tomita et al.			
	AB	6,140,000	10/31/00	Yamashita			
	AC	6,074,794	06/13/00	Fushimi et al.			
	AD	6,329,115	12/11/01	Yamashita			
	AE	5,851,716	12/22/98	Kuramoto et al.			
	AF	5,840,456	11/24/98	Tomita et al.			
	AG	5,721,083	02/24/98	Masuda et al.			
	AH	5,368,972	11/29/94	Yamashita et al.			
	AI	5,225,303	07/06/93	Tomita et al.			
	AJ	5,244,765	09/14/93	Katoh et al.			
	AK	5,565,298	10/15/96	Suguro et al.			
	AL						
	AM						
	AN						

1046 U.S. PTO
10/09/20
03/08/02

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	2000-112180	04/21/00	JAPAN W/ENGLISH ABSTRACT		
	AP	11-327199	11/26/99	JAPAN W/ENGLISH ABSTRACT		
	AQ	09-127720	05/16/97	JAPAN W/ENGLISH ABSTRACT		
	AR	08-173783	07/09/96	JAPAN W/ENGLISH ABSTRACT		
	AS	05-119513	05/18/93	JAPAN W/ENGLISH ABSTRACT		
	AT	04-021862	01/24/92	JAPAN W/ENGLISH ABSTRACT		
	AU	63-104064	05/09/88	JAPAN W/ENGLISH ABSTRACT		
	AV	63-244056	10/11/88	JAPAN W/ENGLISH ABSTRACT		

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
215919US0	09/986,023	11/07/01	PENDING
PER CLIENT	09/843,357	04/26/01	UNKNOWN
PER CLIENT	09/891,652	06/26/01	UNKNOWN
208932US0	09/862,436	05/23/01	PENDING
PER CLIENT	09/667,717	09/22/00	UNKNOWN
PER CLIENT	09/643,910	08/23/00	UNKNOWN
PER CLIENT	09/712,927	11/16/00	UNKNOWN
200297US0	09/725,276	11/29/00	ALLOWED
196125US0	09/653,452	08/31/00	ALLOWED
0557-4832-0	6,103,441	08/15/00	TOMITA, et al.
0557-4932-0 DIV	09/523,700	03/13/00	PENDING
0557-4474-0	6,140,000	10/31/00	YAMASHITA
0557-4419-0	6,074,794	06/13/00	FUSHIMI, et al.
0557-4189-0	6,329,115	12/11/01	YAMASHITA
0557-4090-0	5,851,716	12/22/98	KURAMOTO, et al.
0557-3903-0	5,840,456	11/24/98	TOMITA, et al.
0557-3627-0	5,721,083	02/24/98	MASUDA, et al.

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
PER CLIENT	5,368,972	11/29/94	YAMASHITA, et al.
PER CLIENT	5,225,303	07/06/93	TOMITA, et al.
PER CLIENT	5,244,765	09/14/93	KATOH, et al.
PER CLIENT	5,565,298	10/15/96	SUGURO, et al.